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## NOTICE OF ALLOWANCE AND FEE(S) DUE

41498

7590

09/02/2008

RUDOLPH J. BUCHEL JR., LAW OFFICE OF  
P. O. BOX 702526  
DALLAS, TX 75370-2526

EXAMINER

NEGRON, WANDA M

ART UNIT

PAPER NUMBER

2622

DATE MAILED: 09/02/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/735,307	12/12/2003	Steven Johnson	840468605001	4351

TITLE OF INVENTION: NON-INTRUSIVE DATA TRANSMISSION NETWORK FOR USE IN AN ENTERPRISE FACILITY AND METHOD FOR IMPLEMENTING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$720	\$0	\$0	\$720	12/02/2008

**THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

**THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# **PART B - FEE(S) TRANSMITTAL**

**Complete and send this form, together with applicable fee(s), to:** **Mail** **Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
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**or Fax** **(571)-273-2885**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

41498 7590 09/02/2008

**RUDOLPH J. BUCHEL JR., LAW OFFICE OF**  
**P. O. BOX 702526**  
**DALLAS, TX 75370-2526**

## **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/735,307 12/12/2003 Steven Johnson 840468605001 4351

**TITLE OF INVENTION: NON-INTRUSIVE DATA TRANSMISSION NETWORK FOR USE IN AN ENTERPRISE FACILITY AND METHOD FOR IMPLEMENTING**

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nonprovisional	YES	\$720	\$0	\$0	\$720	12/02/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
NEGRON, WANDA M	2622	348-207000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev. 03-02 or more recent) attached. Use of a **Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 \_\_\_\_\_  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 \_\_\_\_\_  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. **Change in Entity Status** (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_ Date \_\_\_\_\_  
Typed or printed name \_\_\_\_\_ Registration No. \_\_\_\_\_

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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41498	7590	09/02/2008	EXAMINER	
RUDOLPH J. BUCHEL JR., LAW OFFICE OF P. O. BOX 702526 DALLAS, TX 75370-2526				
			NEGIRON, WANDA M	
			ART UNIT	PAPER NUMBER
			2622	
DATE MAILED: 09/02/2008				

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 498 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 498 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

**Notice of Allowability****Application No.**

10/735,307

**Applicant(s)**

JOHNSON, STEVEN

**Examiner**

WANDA M. NEGRON

**Art Unit**

2622

**- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to amendment and remarks filed on 7/30/2008.
2. ☒ The allowed claim(s) is/are claims 1, 2, 10-12, 29-31, 34, 37-40, 8, 9, 32, 33, 35, 36, 25-28, 3-5, 17, 7, 14, 16, 6, 19, 20, 23, 24, 21, 22, 41, 46-53 and 42-45 renumbered consecutively as claims 1-50.

3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) ☐ All b) ☐ Some\* c) ☐ None of the:

1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08),  
Paper No./Mail Date \_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_.

### **DETAILED ACTION**

In view of the amendment filed on 5/22/2008, the rejection of claims 33 and 41-53 under 35 USC § 112, second paragraph has been withdrawn.

### ***Response to Amendment***

The declarations under 37 CFR 1.132 filed on 7/30/2008 are sufficient to overcome the rejection of claims 1-12, 14, 16, 17 and 19-53 based upon Zustak et al. (US Application Publication No. 2002/0104098 A1) in view of Kuwano et al. (US Patent No. 6,456,320) under 35 USC § 103.

### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

- **IN CLAIM 19: Please replace the period (.) at the end of line 34 with a semicolon (;).**

### ***Allowable Subject Matter***

**Claims 1-12, 14, 16, 17 and 19-53 are allowed.**

The following is an examiner's statement of reasons for allowance:

Regarding claims **1-12, 14, 16, 17 and 25-40**, independent claim 1 discloses a device for implementing video surveillance on an existing coaxial network, wherein the existing coaxial network supports data transmitted over a first carrier signal, a second carrier signal and a plurality of other carrier signals, the device comprising: a data port for connecting to the existing coaxial network; a modulator for modulating first digital signals onto the first carrier signal, wherein at least some of said first digital signals representing sensory electrical signals, said modulator electrically coupled to the data port; a demodulator for demodulating second digital signals off the second carrier signal, said demodulator electrically coupled to the data port; a memory for storing sensory electrical signals as data; a video sensor for capturing image frames of a surveillance area and for converting said image frames to video sensory electrical signals; a motion detector for detecting motion in at least a portion of the surveillance area and issuing a motion indication; and a video processor for receiving the video sensory electrical signals representative of the image frames and determining which image frames to save in the memory based on receiving a motion indication, which is neither taught or an obvious variation of the relevant prior art.

Regarding **claims 19-24**, independent claim 19 discloses a method for implementing video surveillance on an existing coaxial network having a head-end node and a plurality of distribution nodes, wherein the existing coaxial network supports data transmitted over a first carrier signal, a second carrier signal and a plurality of other

carrier signals, the method comprising:

connecting a surveillance device to at least some of the plurality of distribution nodes,

said surveillance device comprising:

a data port for connecting to the existing coaxial network; a first modulator for modulating first digital signals onto the first carrier signal, wherein at least some of said first digital signals representing sensory electrical signals, said modulator electrically coupled to the data port; a first demodulator for demodulating second digital signals off the second carrier signal, said demodulator electrically coupled to the data port; a memory for storing sensory electrical signals as data; a video sensor for capturing image frames of a surveillance area and for converting said image frames to video sensory electrical signals; a motion detector, for detecting motion in at least a portion of the surveillance area and issuing a motion indication; and a video processor for receiving the video sensory electrical signals representative of the image frames from the video sensor and determining which image frames to save as data in the memory based on the motion indication from the motion detector; connecting a second demodulator to the head-end node, said second demodulator for demodulating the first digital signals off the first carrier signal; connecting a second modulator to the head-end node, said second modulator for modulating the second digital signals onto the second carrier signal, capturing a first image frame from the surveillance area; converting the first captured image frame to video sensory electrical signals; modulating the video sensory electrical signals representative of the first captured image frame onto the first carrier signal; transmitting the video sensory electrical signals onto the existing coaxial

network; capturing a second image frame from the surveillance area; converting the second captured image frame to video sensory electrical signals; receiving a motion indication; and saving the second captured image frame to the memory; capturing a third image frame from the surveillance area; converting the third captured image frame to video sensory electrical signals; receiving a second motion indication; and saving the third captured image frame to the memory, which is neither taught or an obvious variation of the relevant prior art.

Regarding **claims 41-53**, independent claim 41 discloses a system for implementing video surveillance on an existing coaxial network, comprising: a coaxial network; a plurality of a surveillance control devices coupled to the coaxial network, each control device comprising: a data port for connecting to the coaxial network; a modulator for modulating first digital signals onto the first carrier signal, wherein at least some of said first digital signals representing sensory electrical signals, said modulator electrically coupled to the data port; a demodulator for demodulating second digital signals off the second carrier signal, said demodulator electrically coupled to the data port; a memory for storing sensory electrical signals as data; a video sensor for capturing image frames of a surveillance area and for converting said image frames to video sensory electrical signals; a motion detector for detecting motion in at least a portion of the surveillance area and issuing a motion indication; and a video processor for receiving the video sensory electrical signals representative of the image frames from the video sensor and



determining which image frames to save as data in the memory based on the motion indication from the motion detector; a monitor control device coupled to the coaxial network, comprising: a second data port for connecting to the coaxial network; one of an RF output port and a video output port; a second modulator electrically coupled to the second data port for modulating first digital signals onto the first carrier signal; a second demodulator electrically coupled to the second data port for demodulating second digital signals off the second carrier signal; a second memory; and a video monitor coupled to the one of an RF output port and a video output port; and a head-end, comprising: a cable modem termination system for receiving first digital signals on the first carrier signal and transmitting second digital signals on the second carrier signal; and a temporary storage for storing image frames of a surveillance area, which is neither taught or an obvious variation of the relevant prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- Burke et al. (US Application Publication No. 2004/0075738) disclose a surveillance system wherein motion detection data is delivered to one or more subscribers via a network.
- Needham (US Patent No. 6,803,945) discloses a camera system which periodically uploads images captured to a server in accordance with one of a plurality of motion detection algorithms.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to WANDA M. NEGRON whose telephone number is (571)270-1129. The examiner can normally be reached on Mon-Fri 8:30 am - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Ometz can be reached on (571) 272-7593. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic

Art Unit: 2622

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Wanda M. Negrón/

Examiner, Art Unit 2622  
August 25, 2008

/David L. Ometz/

Supervisory Patent Examiner, Art  
Unit 2622